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                    UNITED STATES DISTRICT COURT
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                    EASTERN DISTRICT OF NEW YORK
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       UNITED STATES OF AMERICA,
                                        12-CR-691(JFK)
 4
                                         U.S. Courthouse
                                         Brooklyn, New York
 5
             -against-
 6
                                         TRANSCRIPT OF
                                         PLEADING
 7
8
       DEJVID MIRKOVIC,
                                         March 13, 2013
       a/k/a "Dave Mirkovic,"
                                         3:00 p.m.
       a/k/a "David Mirkovic"
9
       and a/k/a "Dejuid
       Mirkovic",
10
11
               Defendant.
12
    BEFORE:
13
                    HONORABLE JOHN F. KEENAN, U.S.D.J.
14
    APPEARANCES:
    For the Government:
                              LORETTA E. LYNCH, ESQ.
15
                              United States Attorney
                               271 Cadman Plaza East
16
                              Brooklyn, New York 11201
                              BY: MARSHALL L. MILLER, ESQ.
17
                                    UNA A. DEAN, ESQ. BRIAN MORRIS, ESQ.
18
                                    Assistant U.S. Attorneys
19
20
    For the Defendant: SUSAN G. KELLMAN, ESQ.
21
22
    Court Reporter:
                         Holly Driscoll, CSR
                         Official Court Reporter
23
                         225 Cadman Plaza East
                         Brooklyn, New York 11201
24
                         (718) 613-2274
    Proceedings recorded by mechanical stenography, transcript
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    produced by Computer-Assisted Transcript.
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2 1 THE COURT: Good afternoon, everyone. All right. 2 You may be seated. Thank you. 3 Would you please rise, Mr. Mirkovic, and good 4 afternoon to you, Ms. Kellman. Good afternoon, Mr. Miller. MS. KELLMAN: Good afternoon, Your Honor. 5 THE COURT: Ms. Dean. 6 7 MS. DEAN: Good afternoon, Your Honor. 8 THE COURT: And Mr. Morris. We have an added 9 starter here. 10 MR. MILLER: Yes, Your Honor. Mr. Morris is in our forfeiture unit, Your Honor. 11 12 THE COURT: In the forfeiture unit. 13 MR. MILLER: Yes, Your Honor. 14 THE COURT: All right, fine. MS. KELLMAN: We also have an added starter, Your 15 16 Honor. With the Court's permission, also at counsel table 17 with me is Ms. Mary Bejarano. 18 THE COURT: Ms. Bejarano, how do you do. Nice to 19 see you. 20 MS. BEJARANO: Good afternoon, Your Honor. 21 THE COURT: Good afternoon to you, Mr. Mirkovic. 22 Would you swear the defendant please, All right. 23 Mr. Ryan. 24 (Defendant sworn by the clerk.) 25 THE COURT: You have to talk a little louder than

3 1 that, okay. 2 THE DEFENDANT: Yes, sir. 3 THE COURT: What is your full name, sir? 4 THE DEFENDANT: It is Dejvid Mirkovic. THE COURT: You can sit down, that way you can speak 5 6 into the microphone. 7 Go ahead. 8 THE DEFENDANT: It is Dejvid Mirkovic. The first 9 name is spelled a little funny, D-E-J-V-I-D. 10 THE COURT: How old are you, Mr. Mirkovic? 11 THE DEFENDANT: I'm 38 years old, sir. 12 THE COURT: All right. Now, I placed you under 13 oath because I want you to tell me the truth and I have, as 14 it were, an insurance policy now that you will tell me the 15 truth because if you made a misstatement an intentional 16 misstatement, in other words, if you lied under oath, you 17 could be prosecuted additionally for perjury and/or making a 18 false statement, so it is very important that you tell me the 19 If there's anything I ask you that you don't understand, you just turn to Ms. Kellman, tell me you need 20 21 some time and she'll explain it to you. I don't want you 22 trying to answer anything you don't understand. 23 So far are we on the same page? 24 THE DEFENDANT: Yes sir. 25 THE COURT: All right. I take it that you read,

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    write, speak and understand English; is that right?
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 2
              THE DEFENDANT: Yes, sir.
 3
              THE COURT: And do you waive the reading of the
 4
    indictment or do you require us to read it to you?
              THE DEFENDANT: I waive the reading of the
5
    indictment.
 6
7
              THE COURT: Okay. How far did you go in school,
8
    sir?
9
              THE DEFENDANT: I did finish high school as well as
10
    I just did a few classes in college, sir.
11
              THE COURT: Where did you go to college?
12
              THE DEFENDANT: I did a little bit at University of
13
    Miami, then I did courses through -- I did courses through the
14
    Marine Corps.
15
              THE COURT: Okay. And you're how old again?
16
              THE DEFENDANT: I'm 38 years old.
17
              THE COURT: 38, all right. And when you were
18
    arrested where were you living?
19
              THE DEFENDANT: I was living in West Palm Beach,
20
    Florida.
21
              THE COURT:
                          In West Palm, okay. And are you married
22
    or single, sir?
23
              THE DEFENDANT: I'm married, sir.
24
              THE COURT: Do you have any children?
25
              THE DEFENDANT: I have one son.
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| 1 | THE COURT: How old is he? | |
| 2 | THE DEFENDANT: He's four years old. | |
| 3 | THE COURT: When you work, what do you do for a | |
| 4 | living? | |
| 5 | THE DEFENDANT: I had a business, I was selling, | |
| 6 | telemarketing coins, gold and silver coins. | |
| 7 | THE COURT: Was that down in Florida or up here? | |
| 8 | THE DEFENDANT: That was down in Florida, sir. | |
| 9 | THE COURT: Where, in Lake Worth, in West Palm, | |
| 10 | where? | |
| 11 | THE DEFENDANT: The office was located in Delray | |
| 12 | Beach, Florida. | |
| 13 | THE COURT: I see. All right. | |
| 14 | And are you currently or have you ever been under | |
| 15 | the care of a doctor or a psychiatrist for mental or emotional | |
| 16 | problems? | |
| 17 | THE DEFENDANT: I've seen a therapist but that's as | |
| 18 | far as that goes. | |
| 19 | THE COURT: How long ago was that? | |
| 20 | THE DEFENDANT: Right before I got arrested. | |
| 21 | THE COURT: Before you were arrested? | |
| 22 | THE DEFENDANT: Yes, sir. | |
| 23 | THE COURT: All right. And how long before you were | |
| 24 | arrested about? | |
| 25 | | |

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6
1
    38 years old.
 2
              THE COURT: And when was it that you saw the --
 3
              THE DEFENDANT: I've been seeing my therapist for
 4
    the last two years.
                          Before you were arrested?
5
              THE COURT:
 6
              THE DEFENDANT:
                              Yes, sir.
 7
              THE COURT: And what were you seeing a therapist
8
    for?
9
              THE DEFENDANT: Just to deal with my emotions.
10
              THE COURT: Okay. There's no question as to the
11
    defendant's competency, is there, Ms. Kellman?
12
              MS. KELLMAN:
                            No, Your Honor.
13
              THE COURT: All right. Have you ever been
14
    hospitalized or treated for alcoholism, narcotic addiction or
    any other kind of drug abuse?
15
16
              THE DEFENDANT:
                              No, sir.
17
              THE COURT: Other than probably being nervous this
18
    afternoon because you know this is very serious, are you
19
    feeling all right this afternoon?
20
              THE DEFENDANT: I'm feeling all right, sir.
21
              THE COURT: Okay. Are you under the influence of
22
    anything such as drugs or alcohol that would affect or might
23
    affect your ability to understand what you're doing?
24
              THE DEFENDANT: I'm not under the effect of
25
    anything, sir, no, sir.
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7 1 THE COURT: You can move that microphone closer to 2 you if you want if that is easier, that way you don't have to lean over every time. All right. 3 4 Did you receive a copy of the indictment and did you go over the indictment with Ms. Kellman? 5 6 THE DEFENDANT: Yes, sir, I did, sir. 7 THE COURT: And did she explain to you the charges in the indictment and do you feel you fully understand them? 8 9 THE DEFENDANT: Yes, sir. 10 Did you tell Ms. Kellman everything you THE COURT: 11 know about the case? 12 THE DEFENDANT: Yes, I have, sir. 13 Did you hold anything back from her? THE COURT: 14 THE DEFENDANT: No, sir. Did you really level with her? 15 THE COURT: 16 THE DEFENDANT: Yes, sir. 17 THE COURT: Now, you have the right to continue to 18 plead not guilty, to persist in that plea and to go to trial, 19 do you understand that? 20 THE DEFENDANT: Yes. sir. THE COURT: And if you were to continue to plead not 21 22 guilty, you have the right to a speedy and a public trial in 23 front of a jury of 12 people, do you understand that? 24 THE DEFENDANT: Yes, sir. 25 THE COURT: You have the right to be represented by

8 1 a lawyer at trial and at every other stage of the proceedings. 2 Since apparently you can't afford a lawyer, if for some reason 3 Ms. Kellman could not continue to represent you, I would 4 appoint another lawyer to represent you free of charge, do you understand that? 5 6 THE DEFENDANT: Yes, sir. 7 THE COURT: If I accept your plea of guilty here, 8 there will be no further trial of any kind, do you understand 9 that? 10 THE DEFENDANT: Yes, sir. THE COURT: And if you were to continue to plead not 11 12 guilty and if you went to trial, at a trial you would be 13 presumed to be innocent unless and until the government proved 14 that you were guilty beyond a reasonable doubt to the satisfaction of all 12 jurors, do you understand that? 15 16 THE DEFENDANT: Yes, sir. 17 THE COURT: At a trial you would have the right to 18 confront and to cross-examine any and all witnesses that the 19 government called against you, do you understand that? 20 THE DEFENDANT: Yes, sir. 21 THE COURT: At a trial you would have the right to 22 remain silent and no inference could be drawn against you 23 because of your silence. On the other hand, if you wanted to, 24 you could take the witness stand and testify in your own

defense, do you understand that?

25

THE DEFENDANT: Yes, sir.

THE COURT: Now, if you wanted it and if Ms. Kellman requested it on your behalf and if the government in the persons of Mr. Miller and Ms. Dean agreed and if I agreed and if this is what you wanted and everybody agreed, you could be tried by the Court without a jury. That means you'd have a non-jury trial or a bench trial. If you had that, you would still have all these constitutional rights that I set forth for you just now and the government would still have the burden of proving that you were guilty beyond a reasonable doubt, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: At a trial you would have the right to subpoena witnesses and evidence in your own defense, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: Now, if I accept your offer to plead guilty here this afternoon, you're giving up all these rights with respect to the charges against you in the indictment.

There will be no further trial of any kind and I could sentence you just as if the jury had brought in a verdict of guilty against you, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: Now, you entered what is called a plea agreement, I'm holding the original of it up in my hand, and

11 release, I could have you brought back before me and sentence 1 2 you to the whole period of supervised release as though it 3 hadn't even started yet, do you understand that? 4 THE DEFENDANT: Yes, sir. THE COURT: Now, do you have the plea agreement in 5 6 front of you? 7 THE DEFENDANT: Yes, sir. THE COURT: Okay. I'm going over now to page two in 8 9 a second. Now, the first thing also about the plea agreement 10 that is important is that you understand that the parties to 11 the plea agreement are the government on the one side and you 12 on the other, that I'm not a party to the agreement, do you 13 understand that? 14 THE DEFENDANT: Yes, sir. THE COURT: In other words, it's not binding on me, 15 16 it's binding on you on one side and the government on the 17 other, do you understand that? 18 THE DEFENDANT: Yes, sir. 19 THE COURT: Okay. Now, the plea agreement points 20 out that the maximum fine in this case is up to a guarter of a 21 million dollars, do you understand that? 22 THE DEFENDANT: Yes, sir. 23 THE COURT: Do you also understand that I have to 24 order, according to the plea agreement, restitution. The 25 amount of the restitution is to be determined by me. That's

not statutory but I do have to do it, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: Do you also understand that you're agreeing to an order of forfeiture and the government has supplied me an order of forfeiture here and the order of forfeiture is in accordance with paragraphs 5 through 11 of the plea agreement and that's the provisions on pages 4, 5, 6, 7, and 8 as well as the top of -- well, the top of 8, and that you understand that the forfeiture is not the same thing as restitution, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: Okay. So, in other words, you face both the fine and the forfeiture, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: Okay. Also, no matter what I do, I have to fix what is called a special assessment of \$100, do you understand that?

THE DEFENDANT: Yes. sir.

THE COURT: Now, in the United States, as you know from the plea agreement, we have what are called the sentencing guidelines. Do you understand that the guidelines are not mandatory and they're not binding on me, they're merely advisory, but I have to consider the plea agreement and the guidelines in sentencing you, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: Do you also understand that I have to consider the factors, and now I'm in paragraph two at page two right about the middle of the page of the agreement, that I have to consider the factors that are set forth in Title 18 of the United States Code, Section 3553(a) to arrive at an appropriate sentence in this case, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: All right. Now, according to the agreement, the total offense level in your case is 43 but if you allocute, and by allocute I mean admit the charges here this afternoon, you're going to go down to an adjusted offense level of 40, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: We're now in the middle of page three of the agreement. And you have a Criminal History Category of Roman Numeral I, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: So, therefore, the range of sentence under the guidelines, if I follow the guidelines, is between 292 to 360 months, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: And you understand, as I said, the guidelines are not binding on me and, as I understand it, if I sentence you to a term -- this is the top of page four now, if I sentence you to a term of imprisonment of 405 months or

below, in other words, less than 405 months, you're agreeing not to file an appeal or in any other way challenge the conviction; is that correct?

THE DEFENDANT: Yes, sir.

THE COURT: And you're also agreeing to forfeit the property as I set forth and I'll go over the various things that you've agreed to forfeit. You've agreed to forfeit approximately \$100,268.03 that was seized back on October 9, 2012 from the Wells Fargo Bank, do you understand that?

THE DEFENDANT: Yes, sir.

THE COURT: And now we're going over to the top of page five of the agreement.

You've also agreed to forfeit approximately \$48,000 that was seized from the Regions Bank, and that was \$48,000; and you also agreed to forfeit approximately \$43,000 in currency that was seized from you in the following amounts and increments: First, about \$1,500 on September 14, 2012; \$13,500 that was seized on or about the 25th of September, 2012; \$10,000 that was seized about October 2nd and 18,000 that was seized approximately October 9th.

Also, you're agreeing to forfeit a 2012 Honda Accord automobile, a Kel Tec Kahr 9mm semi-automatic handgun; a rifle called a Finar, a Springfield Arms Socom rifle called 16, and they were all seized approximately the 9th of October, is that correct?

15 1 THE DEFENDANT: Yes, sir. 2 THE COURT: And also you're also agreeing to forfeit 3 \$9,000 that was seized from Karen Romano on October 9th, is 4 that right? THE DEFENDANT: Yes, sir. 5 6 THE COURT: Okay. And you're consenting to the 7 entry of the preliminary order of forfeiture; is that right? 8 THE DEFENDANT: Yes, sir. 9 THE COURT: All right. I've signed the preliminary 10 order of forfeiture. Okay.

There's a paragraph here that I'm going to read to you at the top of page seven, paragraph nine; you should be aware of this, I'm sure Ms. Kellman pointed it out to you but I just want to make sure you know it:

If you fail to forfeit any of the monies or properties that are required, that would be a material breach of this agreement and if that happened, the office -by that office I mean the United States Attorney -- could bring additional criminal charges against you.

Do you understand that?

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THE DEFENDANT: Yes, sir.

THE COURT: And the government has agreed, we're on page eight now, not to make any motion for an upward departure under the sentencing guidelines, do you understand? That means the government is not going to ask me to be any stricter

16 than the guidelines, do you understand that? 1 2 THE DEFENDANT: Yes. sir. 3 THE COURT: Okay. You're also waiving any right to 4 appeal any fine that I impose if I impose a fine of a quarter of a million dollars or less, do you understand that? 5 6 THE DEFENDANT: Yes, sir. 7 THE COURT: Is there anything else that the 8 government wants to inquire of the defendant concerning the 9 plea agreement? If there is, you just go ahead and do it, 10 Mr. Miller, you ask him. 11 MR. MILLER: Thank you, Your Honor. I just wanted 12 to ensure that there were no threats or promises other than 13 those set forth in the plea agreement. 14 THE COURT: All right, yes. Did anybody threaten 15 you or force you to sign the plea agreement? 16 THE DEFENDANT: No, sir. 17 THE COURT: Did anybody promise you anything other 18 than what is contained in the plea agreement? 19 THE DEFENDANT: No, sir. 20 MR. MILLER: One more thing, Your Honor. What this 21 agreement sets forth is the government's best estimate at this 22 point as to what the guidelines might be based on the 23 information known to the government now but reserves the right 24 for the government, if new information comes forward or if the 25 estimate is wrong, to revise that estimate.

17 1 THE COURT: All right. What he's saying is as of 2 today, March 13th at 3:21 p.m., this is the best information 3 the government has as to what the guidelines are. He's saying if they found out that the guidelines were worse from your 4 point of view or even better, that they have the right to 5 6 bring that to my attention, that's what he's saying, do you 7 understand that? 8 THE DEFENDANT: Yes, sir. 9 THE COURT: Okay. Anything else? 10 MR. MILLER: No, Your Honor. Thank you. 11 12 THE COURT: Thank you. 13 Are you satisfied with the legal representation that 14 has been supplied to you by Ms. Kellman? 15 THE DEFENDANT: Very. 16 THE COURT: Okay. Now, it's possible, I don't know 17 that this happened but it happens in many cases, it's 18 possible, in fact I'd say it is probably likely that the 19 government has met or discussed you and your case with 20 Ms. Kellman without you being there, they may have spoken on the telephone, they may have had meetings face-to-face, they 21 22 may have exchanged e-mails or faxes about you and your case, 23 do you understand that? 24 THE DEFENDANT: Yes, sir.

THE COURT: Okay. The plea agreement is acceptable

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18 1 to me. 2 Now, have you been induced or offered to plead 3 guilty by reason of any promise or statement by anybody to the 4 effect that you would get leniency or special treatment or consideration by pleading guilty instead of going to trial? 5 THE DEFENDANT: 6 No. 7 THE COURT: Are you offering to plead guilty of your 8 own free will? 9 THE DEFENDANT: Yes, sir. 10 THE COURT: Have you been induced or offered to 11 plead guilty by any fear or pressure or threat or force or 12 anything like that? 13 THE DEFENDANT: No. sir. 14 THE COURT: Is there anything you want to ask me at this time about the charges in the case or the consequences of 15 16 pleading guilty? 17 THE DEFENDANT: No. sir. 18 THE COURT: Are you offering to plead guilty because 19 in truth and in fact you are guilty, sir? 20 THE DEFENDANT: Yes, sir. 21 THE COURT: Does the government represent that it 22 has sufficient evidence to establish a prima facie case, Mr. Miller? 23 24 MR. MILLER: Yes, Your Honor. All right. And Ms. Kellman, do you know 25 THE COURT:

19 of any valid legal defense that would likely prevail if you 1 2 went to trial or do you know of any reason why Mr. Mirkovic 3 should not plead guilty? 4 MS. KELLMAN: No, Your Honor. THE COURT: Now, I was supplied with a written 5 allocution, by allocution that means a statement as to what it 6 7 is that you did that was wrong. I read it, I have it in front of me. I'm going to have a few other questions of you besides 8 9 what is in the written allocution, but you tell me what it is 10 that you did wrong. 11 THE DEFENDANT: Okay, sir. 12 Nice and loud now. THE COURT: 13 THE DEFENDANT: I agreed with another individual who 14 was not a government agent to kill a federal judge and a federal prosecutor in the Eastern District of New York on 15 16 account of the performance of their official duties. 17 THE COURT: Okay. Hold it a second now. 18 agreement or part of it at least take place between the period 19 February 1st, 2012 and October 9th, 2012? 20 THE DEFENDANT: Mostly in September and October, 21 sir. 22 THE COURT: Of 2012? 23 THE DEFENDANT: Yes, sir. 24 Most of it in September and October? THE COURT: THE DEFENDANT: Yes, sir. 25

Mr. Marshal Miller if I'm correct, over in the Southern

District Probation needs at least three months to prepare the probation report, is it the same here in the Eastern District?

MR. MILLER: Yes, Your Honor.

MS. KELLMAN: Yes.

THE COURT: All right, fine. Then I set sentence down for June 19th at 3:00 in the afternoon here in this courtroom and the Probation Department is to prepare a presentence report for me.

I also direct you, Mr. Miller, and you, Ms. Dean, to supply the prosecution case summary for purposes of the probation report to Probation no later than 14 days from this Friday which is March 15th and, Ms. Kellman, I want you to make yourself available with Mr. Mirkovic to be interviewed by Probation no later than 14 days from this Friday, March 15th; in other words, both of those directives are to take place and to be carried out by the close of business March 29th. The reason for those two directives is to ensure that the Probation Department has adequate time to prepare the presentence report thereby complying with the requirements of Rule 32 of the Federal Rules of Criminal Procedure and also giving Ms. Kellman adequate time to prepare whatever presentence material she wants to submit to me.

Ms. Kellman, I would very much appreciate it, and you and I have dealt with each other many times before so when

a jury in the middle of the summer.

25

Also, I have what is now a twelve defendant, what I'm told by the prosecutors over in the Southern District will be a nine defendant or ten defendant case which is scheduled to go to trial September 23rd. With that number of defendants, that's going to take four or five weeks. I'd much prefer not to have to try this, or when I say try it, I'd much prefer not to have to put this over till November.

I have not communicated with Mr. Kilada, I've told my clerks to call him and I think they have concerning the application that's going to be made on Monday, as I understand it, for a psychiatric examination but I want you to advise him of what I just said.

MR. MILLER: Yes, Your Honor.

THE COURT: And to supply the minutes to him because I don't want in any way to be talking to the government ex parte.

MR. MILLER: Yes, Your Honor.

THE COURT: I just want him on notice of where we're at. All right.

Now, I'm going to see you then Monday at 3:00, as I understand it, that's March 18th. Also, we were scheduled to have on March 18th argument on that portion of Mr. Kilada's motions where the government contests or objects to the applications part of the motion. As I understand it, the government has consented to an evidentiary hearing. And in

25 view of the psychiatric issue that has been raised concerning 1 2 competency, not responsibility but competency, it seems to me 3 that it is better to adjourn both the oral argument and 4 certainly not to have a hearing while that's pending. So, I'm not going to have the oral argument on Monday. I think my law 5 clerks told that to Mr. Kilada that we're not going to have 6 7 the oral argument on Monday. If they haven't, they will ex 8 parte. 9 All right. I'll see you Monday at 3:00. 10 MR. MILLER: Thank you, Your Honor. I just wanted 11 to let you know that Ms. Dean will be representing the 12 government on Monday, I have to be elsewhere that day but she 13 will be handling it, Judge. Thank you, Your Honor. 14 THE COURT: She seems very competent. It will be my 15 pleasure to see her. Thank you. 16 MR. MILLER: Thank you, Your Honor. 17 THE COURT: Ms. Kellman, nice to see you as always. 18 MS. KELLMAN: Thank you. 19 LAW CLERK: Judge, I left Mr. Kilada a message, I haven't been able to reach him yet. 20 21 THE COURT: Okay. 22 (Time noted: 3:35 p.m.) 23 (End of proceedings.) 24 25